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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**John L. Beezer et al.**

Serial No.: 09/455,806

Filed: 12/7/1999

For: Method and Apparatus for Capturing and Rendering  
Annotations for Non-Modifiable Electronic Content

Atty. Docket No.: 003797.84617

Group Art Unit: 2171

Examiner: Hanh Thai

Confirmation No.: 5592

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(i)**

U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form.

In accordance with the rules of practice under 37 C.F.R. § 1.98, including the waiver of October 19, 2004, Applicants are only providing photocopies of non-US patent and literature documents.

One of the following certification statements under 37 C.F.R. § 1.97(e) applies to each of the references cited herein:


The undersigned certifies under 37 C.F.R. § 1.97(e)(1) that some of the references cited herein were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Also enclosed is a copy of the search report for a corresponding foreign application indicating the relevance of the references cited therein.

The undersigned certifies under 37 C.F.R. § 1.97(e)(2) that no item of information contained in the information disclosure statement [that is not a part of the certification under 37 C.F.R. § 1.97(e)(1) above] was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure

statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. Some of the cited references were cited in related U.S. applications.

Applicants believe that no fee is necessary pursuant to 37 C.F.R. § 1.97(i). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Respectfully submitted,



Gary D. Fedorochko  
Reg. No. 35,509

Date: February 11, 2005

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Application Number

09/455,806

Filing Date

12/7/1999

First Named Inventor

John L. Beezer et al.

Group Art Unit

2171

Examiner Name

Hanh Thai

Attorney Docket Number

003797.84617

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,826,025		Gramlich	10/1998	
		5,982,370		Kamper	11/9/99	
		6,714,214		DeMello	3/2004	
		5,761,485		Munyan	6/1998	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		EP	0342838	A	IBM	11/23/89		X

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Marshall, C., "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140	
		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5	
		Munyan, D., "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards," 09/1998, <a href="http://www.futureprint.kent.edu/articles/munyan01.htm">http://www.futureprint.kent.edu/articles/munyan01.htm</a> , pp. 1-7	

Examiner  
SignatureDate  
Considered

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